

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Kaoru Shinba

Filed: November 12, 2003

Title: METHOD AND APPARATUS FOR OVERLOAD-CONTROLLING  
INVERTER POWER GENERATION APPARATUS

Docket No.: 34228US1

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with 37 C.F.R. § 1.98, applicant is submitting herewith Form PTO-1449 listing nine (9) references for consideration by the Examiner. Per 37 C.F.R. § 1.98(d), copies of the references are not required since each reference listed was cited in application Serial No. 10/014,999, filed on December 11, 2001.

If there are any fees resulting from this communication, please charge said fees to our Deposit Account No. 16-0820, Order No. 34228 US1.

Respectfully submitted,  
PEARNE & GORDON LLP

By Thomas P. Schiller  
Thomas P. Schiller, Reg. No. 20667

1801 East 9th Street  
Suite 1200  
Cleveland, Ohio 44144-3108  
(216) 579-1700

November 12, 2003

Form PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. 34228US1	SERIAL NO.
<b>INFORMATION DISCLOSURE CITATION BY APPLICANT</b> <i>(USE SEVERAL SHEETS IF NECESSARY)</i>		APPLICANT: Kaoru Shinba	
		FILING DATE: November 12, 2003	GROUP ART UNIT:

**U.S. PATENT DOCUMENTS**

Examiner Initial		Document No.	Date	Name	Class	Subclass	Filing Date If Appropriate
	A	4,052,659	10/1977	Fletcher et al.	363	57	
	B	4,527,214	07/1985	Hattori et al.	361	96	
	C	4,615,000	09/1986	Fujii et al.	363	41	
	D	4,628,395	12/1986	Sugishima	361	78	
	E	5,990,654	11/1999	Skibinski et al.	318	800	
	F	5,998,880	12/1999	Kumar, Ajith Kuttannair	290	40B	
	G	6,278,622	08/2001	Shimazaki et al.	363	37	
	H	6,556,458	04/2003	Ohmura et al.	363	37	
	J	6,570,779	05/2003	Shimazaki et al.	363	41	
	K						

**FOREIGN PATENT DOCUMENTS**

		Document No.	Date	Country	Class	Subclass	Translation
	L						
	M						
	N						
	P						
	Q						

**OTHER REFERENCES** *(Including Author, Title, Date, Pertinent Pages, Etc.)*

	R	
	S	
	T	

Examiner:	Date Considered
-----------	-----------------

**\*Examiner:** Initial if reference considered, regardless of whether citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.